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TECHNOLOGY, APPLICATION AND LEGISLATION OF PUBLIC KEY INFRASTRUCTURE FOR SECURE e-GOVERNANCE APPLICATIONS

DR. ROHTASH KUMAR GARG ASST. PROFESSOR DIRD DELHI

> NEHA SOLANKI ASST. PROFESSOR DIRD DELHI

ABSTRACT

As concerns for e-governance have been growing in recent years, most of Indian states have implemented or have the planning to develop e-governance programs. maintaining pace with the global world, the Government is drawing up a comprehensive programme to ensure that the benefit of e-governance reaches all sections of the society and economy. Various IT activities, such as development of software applications packages, creation of e-governance infrastructure, databases, digital/educational content, etc., in e-governance domain, are part of Government's strategy in driving the IT penetration in Government offices. e-governance can provide good opportunities to ensure the efficiency of public services, the transparency of public affairs, citizen engagement, and e-democracy. However, it simultaneously poses risks to security and the lack of public services. Public key infrastructure (PKI) can be considered as a basic component for public service enabler as well as for security and privacy. Although there have been many studies and trials on PKI implementation, digital certificate has not fully been diffused to citizens yet, for its dissemination has been prevented by obstacles, such as immature technology, insufficient recognition of necessity, and the lack of application. This study deals with PKI issues surrounding e-governance and recommends a feasible strategy of PKI establishment for e-governance.

KEYWORDS

e-government, e-governance information infrastructure, privacy, public administration, public key infrastructure, security.

1. INTRODUCTION

s the rapid development of ICT (Information and Communication Technology) has a great effect on the overall society, every government around the world is developing or planning e-government initiatives to meet the new advantage and challenge caused by ICT. Since the emergence of e-government, the direct ways of face to face communication have rapidly been transferred to online transactions on the Internet in providing citizen with public services as well as processing internal administration. (Aljifri and Diego, 2003).

OECD Some recent studies[OECD] indicate that fixing the problems of privacy and security may constitute a basic prerequisite for lowering e-government barriers. U.S. and European countries have already been operating government public key infrastructure (GPKI) to ensure confidentiality, authentication, integrity, non-repudiation since 1999 (Aubeit 2003, EU 2003). Use of PKI will help to establish a national-wide security infrastructure, which enables the government and public sector to conduct transactions with confidentiality and safety on the Internet.

2. PUBLIC KEY INFRASTRUCTURE (PKI) AND INFORMATION SECURITY

The Internet has emerged as the most sought after medium for business transactions. The universally accessible nature of the Internet has thrown open a wide range of security challenges. With an increasingly number of mission critical systems getting onto open unsecured networks, the need for security assumes paramount importance.

Public Key Infrastructure (PKI) has emerged as the most reliable framework for ensuring Security and Trust over the Internet. It is based on the principle of **Asymmetric Cryptography**. In the PKI model:

A Key is a long string of data used to encrypt or decrypt a given piece of information. Every user has a unique key pair – the Public Key and corresponding Private Key. The private key is kept confidential, whereas the public key is made available to the public. Messages encrypted with a Public Key can only be decrypted with the corresponding Private Key, and vice-versa.

The Public Key is predominantly used for encryption and the private key for Digital Signatures.

3. FOUR PILLARS OF TRUST

PKI is the only security and trust framework that fulfills the four vital requirements of e-commerce. These are also known as the Four Pillars of Trust.

3.1 AUTHENTICATION

It is the means of identification employed. For e-Commerce transactions, the absence of face-to face interaction creates the need for a foolproof method of identification. PKI offers the most secure means of authentication available today through **Digital Certificates**.

3.2 CONFIDENTIALITY

Secure transmission of data over open networks and preventing data access by unauthorized entities is of paramount importance. PKI ensures confidentiality through the use of time tested **Encryption** Algorithms.

3.3 INTEGRITY

Data transferred through open networks should not be altered or modified during transit. Integrity of data is ensured through Data Hashing.

3.4 NON-REPUDIATION

It is necessary to ensure that the sender does not disown data sent. There should be a trustworthy means to guarantee the ownership of the electronic document. PKI ensures non repudiation through the use of Digital Signatures.

4. FUNDAMENTAL WORKING OF PKI

Public Key Infrastructure (PKI) has emerged as the most reliable framework for ensuring Security and Trust over the Internet. It is based on the principle of **Asymmetric Cryptography**. The working of PKI is given below:

- 1. A Key is a long string of data used to encrypt or decrypt a given piece of information.
- Every user has a unique key pair the Public Key and corresponding Private Key.
- 3. The private key is kept confidential, whereas the public key is made available to the public.
- 4. Messages encrypted with a Public Key can only be decrypted with the corresponding Private Key, and vice-versa.
- The Public Key is predominantly used for encryption and the private key for Digital Signatures.

4.1 MESSAGE DIGEST

A Message Digest is a hash value, which is the compressed form of the message generated when the message is passed through a Hashing Algorithm. The main characteristics of a Hashing Algorithm are –

- It is a one-way function i.e. given the Message Digest, the original message cannot be obtained.
- The Message Digest is of a fixed length irrespective of the length of the original message.
- Even a small change in the original document will result in a very large variation in the Message Digest.
- It is not possible for a message to hash to a pre-determined value.

4.2 DIGITAL SIGNATURES

A Digital Signature is obtained when the Digest of the message is encrypted using the sender's Private Key. The process of digitally signing a message or document is diagrammatically represented below:

The message is first passed through a Hashing Algorithm and a Message Digest obtained. This Message Digest is then encrypted using the sender's Private Key and this encrypted Message Digest becomes the Digital Signature. This is then appended to the Message and sent to the intended recipients.

The Process of verifying a Digital Signature is represented below:

When the recipient receives the message, he uses the Public Key of the sender to decrypt the encrypted Message Digest. If the decryption is successful, he can be assured that the sender has signed it. This is due to the fact that only the Public Key corresponding to the Private Key used to sign the Message Digest would be able to decrypt the Signature. Integrity of the message can be ensured by passing the message through the same Hashing Algorithm and comparing the resultant Digest with the decrypted Message Digest.

4.3 DIGITAL CERTIFICATES

A digital certificate is the equivalent of a passport. It contains all personal details about the user and carries his public key. A Certification Authority (CA) issues the certificates after a proper verification of the applicant's credentials by an affiliated Registration Authority. A Digital Certificate can be stored in either of the following methods:

- 1. On the Browser on the Users Computer
- 2. On a floppy disk
- 3. On a Smart Card
- 4. On other Hardware Tokens

5. BARRIERS FOR e-GOVERNANCE INITIATIVES

There are four main barriers for effective e-governance initiatives:

1) legislative and regulatory barriers; 2) budgetary barriers; 3) technical barriers; 4) the digital divide. There is a need of state survey, to ask ministries to indicate which factors concerning technology and information management had been a challenge or a constraint when implementing e-governance in state. Figure 3 illustrates the relative importance of the ten different ICT challenges. The most important challenge, as identified by 77% of government officials and respondents, concerns problems of security, privacy, authentication of services that will run online and need public key infrastructure. We can design a state citizen identification card which aims to be not a only smart card, but also a PKI implementation, to support authentication transactions.

6. STATE PUBLIC KEY INFRASTRUCTURE (SPKI)

Before E-commerce based transitions in e-governance model in Uttarakhand state, there is a need to insure 'Digital Certificate Law' as a facilitator for safe electronic transaction of money and private information. SPKI is a new approach that should be used for e-commerce applications users of government or private sectors, which mainly do electronic commerce typically divided into B2B, G2B, B2G and B2C. In addition, citizens and businesses need to have the digital certificate of SPKI, so that they can have access to state government websites requiring identification. SPKI will be in a hierarchical architecture form, of which the State Information Security will be s located in upper statues above CAs who will issue the digital certificate to an individual and business person. The e-procurement system can be started using accredited digital certificates. Application services using digital certificates have gradually been extended into internet banking, cyber stock transactions, and e- civil petitions. Also, more various services are expected to be increased in the near future.

7. STATE GOVERNMENT PUBLIC KEY INFRASTRUCTURE (SGPKI)

It is clear that the baseline of PKI must be considered prior to e-government implementation. For example, a government representative portal site named as G4C, can perform various public services such as a civil petition, certificate issuance, and taxes payment should be based on the PKI. The state government should set up a government PKI structure in the shape of a hierarchical architecture, where the government computer center (State NIC) can be in charge of root CA according to e-government act. The government now need defined PKI policy, not only aiming that every civil servant must have a digital signature key and digital certificate, but also involving the detailed planning reflected through the survey of respondents of ministries and municipalities.

There are 200 government organizations who need digital signature system by the end of 2007, 1 thousand the civil servants will use digital signature keys in the beginning of system, and more than 1 thousand digital signatures key will be needed in future to extended this system the end of 2009.

8. PUBLIC SERVICES THAT NEED BOTH SPKI AND SGPKI

As explained earlier, it is very important to establish a PKI which paves the way for e-governance services. There have been many e-governance services embedded in PKI such as sharing information, e-documentation in G2G, e-tax, e-procurement in G2B, and state government portals, e-voting in future (G2C). Also, SPKI is adapted for electronic commerce of B2B or G2B or G2C requiring security.

TABLE 1				
SGPKI	G2G	E-Documentation		
		Sharing of Information		
		E-procurement		
	G2B	E-Filing		

9. STATE e-GOVERNANCE PORTAL (A G4C SITE)

In any state the lack of digital signature in conducting transactions on the Internet made it harder for civil servant to know about the identification of civil persons or the confidentiality of civil applications under the electronic environment. As a digital signature is required for improving cyber-transactions on the Internet, the state government, combined with the accredited CAs built PKI system is purposed. With this system, government can provide online services, which ensure the strengthened confidentiality of personal information in processing civil affairs such as civil petitions and civil applications.

After launching a PKI based state government portal for e-governance applications, all application forms will gradually have transferred to electronic forms and the required information can be found on this website. Citizens with digital signature keys and digital certificates will access this site and get many government certificate documents such as resident registration papers, a certificate of tax payment, and land register, etc. on the Internet, which can be printed from citizen's PC, by using ICT.

10. SUMMARY

PKI and Digital signature can be used as specific applications of cryptography which involves appending a 'digital personal stamp' to electronic documents or email to ensure authentication, data integrity, and non-repudiation (ITU-T, 1997). At the technological level, there has been mach research and testing considering interoperability such as bridge CA and Certificate Trust Database (CTD). Final technological protocols have been established enough to achieve PKI goals such as authentication, confidentiality, data-integrity, and non-repudiation on e-transaction. With the increase of digital signature expectations, PKI architecture has been under study and testing. PKI applications are expected to gradually extend their areas which are enumerated as safe delivery of electronic documents, signatures for electronic approval, and privilege management infrastructure (PMI). These applications help users work in remote places.

Many nations already have established legislation such as electronic signature law, residence registration law, heath security law, and e-government laws which relate to PKI. The majority of controversies surrounding laws on PKI arise when it is used as a tool to provide confidentiality on data transmission (Hassan, 2003). Technological implementation alone does not mean the successful establishment of e-governance PKI. Legislation and application can be the same case. Because individual components may be insufficient for e-governance online, there is a need to adapt the integrated approaches. There are important components for PKI, technology, application, and legislation, the applied technologies of PKI are enumerated: smart card, cryptography, KIOSK, RFID, e-cash, and e-payment. There are many applications which need to use PKI, such as e-document, e-health, e-archive, e-procurement, and e-education (Wormer, 2002). However, applications need to be focused on specific purposes in the initial stage, because applications with general purposes are not so easy to develop. Because the applications and technologies based on PKI must necessitate legislation, so the state government with the help of central government should legislate 'Digital Certificate Law' before PKI initiative such as residence register, health insurance, and privacy protection can be started.

As PKI must be an integral part to provide information security in e-governance applications, like in e-commerce, e-business and e-democracy where secure transactions are essential and it is required to perform the transaction between government and private sector. PKI must be a fundamental base for achieving national or state security goals, upholding privacy, which enables citizens to utilize cryptographic technology conveniently. It can be concluded that PKI initiative must be implemented with integrated approach considering the prospect of technology, applications, and legislation. Uttarakhand State Governments need to make the strategy penetrating PKI in order to fix the practical use. On-going education and training for civil servants and citizens is required to aware about information security in the age of internet and in the age of e-governance.

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